



Exhibit VIII.A.9. – U.S. Securities and Exchange Commission Filings

Submit as Exhibit VIII.A.9. copies of all U.S. Securities and Exchange Commission (“SEC”) filings, if any, for the Financing Sources, for the three (3) fiscal years ended before the date Applications are due and any interim period between the end of the most recent fiscal year and the date Applications are due, including any SEC filings made by the Financing Sources on a voluntary basis. To the extent not duplicative of the preceding sentence, provide copies of all notices and reports delivered by the Financing Sources to financing sources and agents, equity holders or others for the three (3) fiscal years ended before the date Applications are due and for any interim period between the end of the most recent fiscal year and the date Applications are due that describe the Financing Sources’ general business, business risks, results of operation and financial condition, material agreements, employment arrangements and other similar matters that are required to be included in annual, quarterly and periodic reports filed with the SEC by public companies. Omit from such SEC filings and notices and reports delivered to financing sources and agents, equity holders and others the financial statements for any period covered by the financial statements provided pursuant to Item VIII.A.7. of this RFA, but indicate such omission by inserting a page in such SEC filings where the omission occurs that briefly describes the financial statements so omitted. In lieu of physical submissions, an Applicant may provide links to all responsive materials.

Given the voluminous nature of the documents requested and in consideration of the environment, Tioga Downs respectfully refers the Board to Morgan Stanley’s Investor Relations website: <http://www.morganstanley.com/about-us-ir/>

Alternatively, copies of all SEC filings are available at: <http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0000895421&owner=exclude&count=40&hidefilings=0>