



## Exhibit VIII.A.14.a. – Gaming Licenses Issued

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*Submit as Exhibit VIII.A.14.a. a description of any gaming-related licenses issued in any jurisdiction to an Applicant Party. Also, state whether an Applicant Party has ever had a gaming-related license denied, suspended, withdrawn or revoked, or if there is a pending proceeding that could lead to any of these conditions. If yes, provide a detailed summary of each denial, suspension, revocation, withdrawal or relevant documents in connection with such pending proceedings.*

Tioga Downs reports the following gaming-related licenses issued in any jurisdiction. Additionally, no Applicant Party of Tioga Downs has ever had a gaming-related license denied, suspended, withdrawn or revoked and there are no pending proceedings that could lead to any of these conditions.

### Applicant:

Tioga Downs Racetrack, LLC

New York State Gaming Commission – All licenses necessary in connection with Tioga Downs Racetrack, LLC

### Direct or indirect ownership of 5% or greater:

1. American Racing and Entertainment, LLC

New York State Gaming Commission – All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)

2. Jeffrey R. Gural

- a. New York State Gaming Commission – All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)
- b. Pennsylvania Gaming Control Board - Principal Casino License – Granted, 2005
- c. New Jersey Racing Commission – Various licenses – Granted, various dates

### Casino Key Employees:

1. Jeffrey R. Gural – *See above*



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2. Jason M. Settlemoir

- a. Ohio State Racing Commission - Owner/Simulcasting/track mgmt. - Granted, 1998
- b. Pennsylvania Racing Commission - Owner - Granted, 2010
- c. New York State Gaming Commission - All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)
- d. Ontario Racing Commission - Owner - Granted, 2014
- e. Indiana Racing Commission - Owner - Granted, 2013
- f. Kentucky Racing Commission - Owner - Granted, 2010
- g. New Jersey Racing Commission - Owner/Track mgmt. - Granted, Various dates

3. William F. Ripley

New York State Gaming Commission - All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)

4. Cory J. Williams

New York State Gaming Commission - All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)

5. Scott Freeman

- a. New York State Gaming Commission - All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)
- b. Louisiana Casino Gaming Division - Key License - Granted, 2007
- c. Mississippi Gaming Commission - Key License - Granted, 2005
- d. Nevada Gaming Commission - Gaming License - Granted, 1997
- e. Gaming Association of Iowa - Gaming License - Granted, 1996

6. Randall Snodgrass

New York State Gaming Commission - All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)

7. John Clark

- a. New York State Gaming Commission - All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)
- b. Seminole Tribe of Florida - Key License - Granted, 2003

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8. Thomas Osiecki

- a. New Jersey Casino Control Commission - Gaming - Granted, 1981
- b. Mississippi Gaming Commission - Gaming - Granted, 1993, 2003, 2006
- c. Louisiana State, River Board - Non-Key Employee - Granted, 1996
- d. All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)

9. David Miller

All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)

10. Robert Fitzpatrick

- a. All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)
- b. Bahamas Gaming Commission - Gaming License - Granted, 2003
- c. Florida Department of Business & Professional Licenses - Slot, Poker and Pari-Mutuel License - Granted, 2005
- d. New Jersey Racing Commission - Pari-Mutuel License - Granted, 2012
- e. Pennsylvania Gaming Control Board - Gaming License - Granted, 2007
- f. Mississippi Gaming Commission - Gaming License - Granted, 1996
- g. Louisiana Gaming Control Board - Gaming License - Granted, 2002
- h. Missouri Gaming Commission - Gaming License - Granted
- i. Nevada Gaming Commission - Gaming License - Granted, 2006
- j. Choctaw Gaming Commission - Gaming License - Granted 1990's

11. Jeff Townsend

- a. All licenses necessary in connection with Tioga Downs Racetrack, LLC and Mid-State Raceway, Inc. (Vernon Downs)
- b. Colorado Department of Revenue, Division of Gaming - Key License - Granted, 2006
- c. Colorado Department of Revenue, Division of Gaming - Support License - Granted, 2006
- d. Louisiana Casino Gaming Division - Key License - Granted, 2004
- e. Indiana Gaming Commission - Level 2 Gaming - Granted, 1996
- f. Mississippi Gaming Commission - Key License - Granted, 1992

