



EXHIBIT VIII.A.9.

U.S. SECURITIES AND EXCHANGE COMMISSION FILINGS; NOTICES AND REPORTS TO FINANCING SOURCES AND EQUITY HOLDERS

Submit as Exhibit VIII.A.9. copies of all U.S. Securities and Exchange Commission (“SEC”) filings, if any, for the Financing Sources, for the three (3) fiscal years ended before the date Applications are due and any interim period between the end of the most recent fiscal year and the date Applications are due, including any SEC filings made by the Financing Sources on a voluntary basis. To the extent not duplicative of the preceding sentence, provide copies of all notices and reports delivered by the Financing Sources to financing sources and agents, equity holders or others for the three (3) fiscal years ended before the date Applications are due and for any interim period between the end of the most recent fiscal year and the date Applications are due that describe the Financing Sources’ general business, business risks, results of operation and financial condition, material agreements, employment arrangements and other similar matters that are required to be included in annual, quarterly and periodic reports filed with the SEC by public companies.

Omit from such SEC filings and notices and reports delivered to financing sources and agents, equity holders and others the financial statements for any period



covered by the financial statements provided pursuant to Item VIII.A.7. of this RFA, but indicate such omission by inserting a page in such SEC filings where the omission occurs that briefly describes the financial statements so omitted. In lieu of physical submissions, an Applicant may provide links to all responsive materials.

Copies of all U.S. Securities and Exchange Commission ("SEC") filings, if any, for the Financing Sources, for the three (3) fiscal years ended before the date Applications are due and any interim period between the end of the most recent fiscal year and the date Applications are due, including any SEC filings made by the Financing Sources on a voluntary basis.

Montreign Operating Company, LLC

As Montreign Operating Company, LLC is a newly formed entity and is not publicly traded, Montreign does not have any SEC filings for the three (3) fiscal years that ended before June 30, 2014 or any interim periods. Empire, Montreign's parent company, however, is providing such filings as noted below.

Empire Resorts, Inc.

Empire's SEC filings for the three (3) fiscal years that ended before June 30, 2014, and any interim periods, along with voluntary SEC filings, can be found on the SEC's website¹, as well as on Empire's website.²

EPR Properties

Empire's SEC filings for the three (3) fiscal years that ended before June 30, 2014, and any interim periods, along with voluntary SEC filings, can be found on the SEC's website³, as well as on EPR's website⁴.

Credit Suisse

Credit Suisse Group AG's SEC filings for the three (3) fiscal years that ended before June 30, 2014, and any interim periods, along with voluntary SEC filings, can be found on the SEC's website,⁵ as well as on Credit

¹See <https://www.sec.gov/cgi-bin/browse-edgar?company=empire+resorts&match=&filenum=&State=&Country=&SIC=&myowner=exclude&action=getcompany&Find=Search>.

²See <http://phx.corporate-ir.net/phoenix.zhtml?c=111350&p=irol-sec>.

³See <http://www.sec.gov/cgi-bin/browse-edgar?company=epr+properties&match=&filenum=&State=&Country=&SIC=&myowner=exclude&action=getcompany>.

⁴See <http://www.snl.com/IRWebLinkX/docs.aspx?iid=113645>.

⁵See <http://www.sec.gov/cgi-bin/browse-edgar?company=credit+suisse+group+ag&match=&filenum=&State=&Country=&SIC=&myowner=exclude&action=getcompany>.

⁶See https://www.credit-suisse.com/investors/en/information/financial_reports.jsp#.

⁷See <http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0001053092&owner=include&count=40&hidefilings=0>.

⁸See https://www.credit-suisse.com/investors/en/information/financial_reports.jsp#.



Suisse's website.⁶ Further, Credit Suisse AG's SEC filings for the three (3) fiscal years that ended before June 30, 2014, and any interim periods, along with voluntary SEC filings, can generally be found on the SEC's website,⁷ as well as on Credit Suisse's website.⁸

Kien Huat Realty III Limited

Not applicable as Kien Huat Realty III Limited is not a publicly traded company and it does not have any SEC filings.

To the extent not duplicative of the preceding sentence, provide copies of all notices and reports delivered by the Financing Sources to financing sources and agents, equity holders or others for the three (3) fiscal years ended before the date Applications are due and for any interim period between the end of the most recent fiscal year and the date Applications are due that describe the Financing Sources' general business, business risks, results of operation and financial condition, material agreements, employment arrangements and other similar matters that are required to be included in annual, quarterly and periodic reports filed with the SEC by public companies.

Empire Resorts, Inc., EPR Properties and Credit Suisse

Empire's, EPR's and the Credit Suisse Group's notices and reports describing their general business, business risks, results of operation and financial condition, material agreements, employment arrangements and other similar matters that are required to be included in annual, quarterly and periodic reports filed with the SEC by public companies, and that were delivered to financing sources and agents, equity holders or others for the past three (3) fiscal years and any interim period before June 30, 2014, are described above and also in Exhibit VIII.A.7.a.

Montreign Operating Company, LLC

Not applicable as Montreign Operating Company, LLC is not a publicly traded company and thus does not have any SEC filings.

Kien Huat Realty III Limited

Not applicable as Kien Huat Realty III Limited is not a publicly traded company and thus does not have any SEC filings.