



Submit as Exhibit VIII.A.14.b. a description of any disciplinary action brought against an Applicant Party by any gaming licensing authority during the past five (5) years.

Montreign Operating Company, LLC

Montreign Operating Company, LLC has not had any disciplinary action brought against it by any gaming licensing authority during the past five (5) years.

Empire Resorts, Inc.

Empire entered into a Stipulation on January 10, 2011 (In the Matter of Empire Resorts, Inc., New York State Racing and Wagering Board, M.O. #302-2010). The New York State Racing and Wagering Board ("RWB") initiated the above referenced matter on October 4, 2010. The matter was resolved and the underlying Notice of Fine was withdrawn with prejudice based upon the full execution of the stipulation entitled "In the Matter of Monticello Raceway Management, Inc." (M.O. # 04-2011).

The MRMI matter involved two new Empire Board members. Each of them submitted Track Management license applications to the RWB prior to attending Empire Board meetings. However, each of them participated in such Empire Board meetings by attending meetings and providing substantive direction, but abstaining from voting. Such participation occurred prior to the receipt of their respective Track Management licenses, and therefore, MRMI may have violated the provisions of 9E NYCRR 4101A. The MRMI Stipulation provides that Empire and MRMI stated that at all times Empire and MRMI acted in good faith under the advice of counsel and believed that their actions were in accordance with the RWB's rules. Based upon this, the MRMI Stipulation stated that the parties agreed that any violations that may have occurred were technical violations. MRMI voluntarily entered into the Stipulation and pleaded "No Contest" to any violations, without

admission of the actual violations or any wrongdoing. MRMI paid a fine in the amount of five thousand dollars (\$5,000).

Kien Huat Realty III Limited

Kien Huat has not had any disciplinary action brought against it by any gaming licensing authority during the past five (5) years.

Casino Key Employees Joseph D’Amato, Laurette Pitts, Nanette L. Horner and Charles Degliomini

None of Joseph D’Amato, Laurette Pitts, Nanette L. Horner or Charles Degliomini have had any disciplinary action brought against them by any gaming licensing authority during the past five (5) years.