



EXHIBIT IX.B.3. LOCAL BUSINESS OWNERS

Submit as Exhibit IX.B.3. a description of plans for contracting with local business owners for provision of goods and services to the Gaming Facility, including developing plans designed to assist businesses in the State in identifying the needs for goods and services to the Gaming Facility.

Plans for Contracting with Local Business Owners

Empire has laid the groundwork for Montreign Operating Company, LLC to continue to contract with local business owners for the provision of goods and services for the Montreign Resort Casino. In 2013, out of \$26.5 million of purchases of goods and services, Empire procured \$16.9 million from New York State based vendors. This represents approximately 64% of its purchases from New York State vendors, helping to ensure that New York State businesses succeed and New York residents are employed.

In addition to buying from New York State vendors, we have pursued and will continue to aggressively pursue vendors based in Sullivan and Orange Counties. In 2013, we purchased from Sullivan and Orange County vendors in excess of \$1.1 million. Samples of local vendors we support, and products and services purchased, are listed in the chart below.



Vendor	Product(s)
	wiring, cabling
	entertainment venue
	stone, track
	stone dust, track
	paint and supplies
	pastries
	paving
	in house plant service
	building supplies
	carpet repairs
	forklift and other services
	office supplies
	dry cleaning
	vehicles and service
	pastries
	flowers
	service, parts and equipment
	parts and supplies
	alarm systems
	auto parts and vehicles
	beer
	electrical service
	plumbing/HVAC
	entertainment
	printed materials
	fire sprinklers
	trash/recycling removal
	building supplies

Plans Designed to Assist Local Businesses

For us, it is not mere words to state that we will purchase locally and from New York State vendors, but our actions prove that we already do. We have hosted numerous special events for our patrons that feature local products and brands in an effort to highlight the local vendors. All of our purchasing decisions are made by employees based in our New York State operations. There are no national contracts negotiated by someone working outside the State. Further, we have not rested on our accomplishments, but have sought to expand our local and community purchasing outreach. Some recent steps we have taken include:

- Meetings with local meat, poultry and beverage vendors to determine if their product offerings may be used in our existing restaurant, lounge and bar operations, and exploring opportunities for use in Montreign Resort Casino.
- Meeting with representatives of the Hudson Valley Economic Development Corporation (“HVEDC”) seeking ways we can collaborate on expanding the economic and job benefits to local food and beverage purveyors.
- On-going meetings and discussions with Sysco Albany’s President to determine the manner in which Sysco can integrate and implement the Pride of New York¹ into our purchasing abilities with Sysco. Since Sysco is our primary food vendor, incorporating participants in the Pride of New York program provides wide distribution of local and regional products to a multitude of buyers.

¹See www.prideofny.com.

- We held a local vendor fair on May 21, 2014. The purpose of the vendor fair was to introduce more regional vendors to the products, brands, cuisine and services that we purchase. We also established an email address file, communityoutreach@empireresorts.com, where vendors can submit information so we may communicate with them.

The meetings and our on-going discussions with Sysco regarding the Pride of New York program will help educate local vendors about the products and services that Montreign will procure. In addition to these efforts, we will evaluate methods and can structure our purchasing volume so smaller local businesses have the opportunity to bid.

Additionally, the construction of Montreign will provide the opportunity for local, regional, New York State, minority, female and veteran contractors to bid and be awarded contracts. To ensure information about our procurement needs for the construction of Montreign are communicated, we had a contractor vendor's fair on June 17, 2014. There were approximately 75-80 attendees representing various local, regional, New York State, minority, female and veteran contractors. Our general contractor, L.P. Ciminelli ("LPC"), a Buffalo, New York based construction management company, and DACK Consulting Services, Inc. ("DACK"), a White Plains, New York based MWBE and DBE certified company, coordinated our marketing efforts to inform local and regional contractors about the construction vendor information fair. At the construction vendor information fair, details regarding the scope of work, qualifications to bid, types of trades and contractors needed, and a preliminary timing of when each specific type of work may be bid was provided. DACK's role in this effort is to assist LPC and Montreign to reach qualified MBWVE contractors.

We are mindful of the concern that on a construction job of the magnitude of Montreign Resort Casino, smaller contractors do not have the capabilities to perform the entire scoped job. LPC and DACK will work together to find opportunities to break down certain work so that smaller contractors will have an opportunity to bid and be awarded contracts, and that local, minority, female and veteran contractors are provided a real opportunity to be awarded contracts.



Buy Local. Buy Pride of New York.