

EXHIBIT V.III.A.14.b Gaming Disciplinary Actions MOHEGAN SUN AT THE CONCORD

Mohegan Tribal Gaming Authority:

The Mohegan Tribal Gaming Authority (“MTGA”), which, upon award of the license, will ultimately own 50.1% of Mohegan Sun at The Concord and 100% of its Manager, Mohegan Gaming New York, LLC, is also the owner and operator of Mohegan Sun in Connecticut and, through an indirect subsidiary, Downs Racing, L.P. (“DRLP”), owns and operates Mohegan Sun at Pocono Downs in Pennsylvania.

MTGA and DRLP are also regularly a party to regulatory reviews and investigations related to their gaming operations, some of which result in administrative or judicial findings and/or proceedings. MTGA has not been subject to any disciplinary action during the past five years.

DRLP, the owner and operator of Mohegan Sun at Pocono Downs, was first licensed in Pennsylvania in 2006 and was the first casino to open in that state in 2007. Since that time, DRLP has entered into seven consent agreements with the Pennsylvania Gaming Control Board (“PGCB”), five of which have been in the past five years (descriptions include information from PGCB reports):

In Re: Underage Gaming 1781-2010: Between February 2010 and June 2010 there were three underage gaming violations at the casino. One of the instances involved a nineteen year old and the other two instances involved twenty year olds. Each of the underage individuals engaged in gaming activities for between an hour and eighteen minutes to two hours. There was no evidence of alcohol consumption. The casino’s Compulsive and Problem Gambling Plan required security to ask all patrons who appear under 30 for identification which is to be verified using ID scanners. The casino violated 4 Pa.C.S. §1518(a)(13), 1518(a)(13.1), 1207(8), and 58 Pa. Code §513a.3(b) relating to underage gambling and the presence of underage persons in gaming areas.

Resolution: Consent agreement for \$47,000 fine; Casino will institute policies and provide training to prevent future similar incidents. Board Action: Approved consent agreement as presented on December 6, 2011

In Re: Underage Gaming 2442-2011: Between October 2010 and January 2011 there were three incidents wherein underage individuals accessed the gaming floor and engaged in gaming activities. The underage individuals were not asked for identification at the security checkpoint. Evidence illustrated that one individual was served and consumed alcohol. The casino’s Compulsive and Problem Gambling Plan required security to request all patrons who appear under 30 years of age for identification and to verify the identification using ID scanners. The casino violated 4 Pa.C.S. §1518(a)(13), §1518(a)(13.1), §1207(8), and 58 Pa. Code §513a.3(b) relating to underage gambling and the presence of underage persons in gaming areas.

Resolution: Consent Agreement for \$80,000 fine. Casino will institute policies and provide training to prevent future similar incidents. Board Action: Approved consent agreement as presented on December 6, 2011

In Re: Automatic Renewal of SLA 2863-2012: In 2009 the casino entered into a

Service Level Agreement (SLA) with Mohegan Sun of Connecticut and their IT department which was subject to annual automatic renewal. A special condition of the SLA petition was that all renewals, including automatic renewals, had to be approved by the Board. The SLA was automatically renewed in 2010, 2011, and 2012 without the Board's approval. Board approval was required for all renewals as stated within the 2009 SLA. Such approval was not requested or received for the 2010, 2011, and 2012 renewals.

Resolution: Consent Agreement \$2,500 investigative fee. Casino is to get Board approval for future renewals of the SLA as required. A proposed fine of \$3000 (\$1000/incident) was agreed to in the consent agreement but the Board released the Casino from the proposed fine without objection from the parties. Board Action: The Board approved this Agreement on October 10, 2012, with the removal of the fine.

In Re: Pay Table Violations 3200-2013: In August 2012, the Board's Bureau of Gaming Laboratory Operations discovered the pay tables on six slot machines did not match the information contained within the Gaming Floor Slot Machine Master List. Records showed the inconsistencies existed for approximately thirteen months, once the casino was informed of its error; it did quickly remedy the violations. The casino violated 58 Pa. Code §463a.5(b)(2) regarding the maintenance of Slot Machine Master Lists

Resolution: Consent Agreement with a \$90,000 fine. \$2,500 in investigative Fees. Board Action: This Consent Agreement was approved by the Board on June 26, 2013.

In Re: Retained Records Shredding 3199-2013: In June 2011 records which were required to be maintained were erroneously shredded. It was determined the casino failed to properly supervise the shredding process and thus the documents were destroyed. The occurrence was discovered during a DOR audit as the casino failed to notify the PGCB of this incident. The casino violated 58 Pa. Code §465a.6(b) and §465a.6(c) regarding the retention and destruction of records. The casino also violated their internal controls which addressed the same requirements.

Resolution: Consent Agreement. \$35,000 fine and \$2,500 in investigative fees. The Board approved this Consent Agreement on June 26, 2013.