



### 1. Public Officials

Except as set forth below, no Public Officials or officers or employees of any governmental entity, and immediate family member(s) of said Public Officials, officers or employees, are the creditors of, hold any debt instrument issued by, or hold or have an interest, direct or indirect, in any contractual or service relationship with the Applicant or OZRE Fund III.

- As set forth in the Cover Letter, the Applicant will be entering into contractual consulting relationships with (i) Global Gaming Consulting, LLC, a subsidiary of the Chickasaw Nation of Oklahoma, and (ii) Seminole Hard Rock Entertainment, Inc., a subsidiary of the Seminole Tribe of Florida.
- As described in the Cover Letter, five limited partnerships comprise OZRE Fund III and greater than 99% of the ownership interests therein are held by passive third party investors (the Limited Partners) which, in turn, are made up of investors such as pension plans, universities, foundations, endowments, investment firms and high net worth individuals. Public pension plans and public universities are included among the Limited Partners.
- Two shareholders of Greenberg Traurig, LLP who have rendered legal services to the Applicant are Public Officials:
  - > Mark F. Glaser is a Commissioner of the State of New York Commission on Uniform State Laws.
  - > Harold N. Iselin is a member of the Board of the New York State Archives Partnership Trust.

Both Mr. Glaser and Mr. Iselin are located at the following address:

Greenberg Traurig, LLP  
54 State Street  
Albany, NY 12207  
(518) 689-1400

- As described in the Cover Letter, it is currently contemplated that Capital OTB will be a partner with FMI in the acquisition of the Site and also will operate a pari-mutuel wagering outlet at the Gaming Facility. Capital OTB is a public benefit corporation. The Applicant is unsure whether the Board of Directors and officers of the Capital OTB would be "Public Officials" as defined in the RFA; however, the Applicant has listed such persons below. Also attached is an outside counsel opinion related to Capital OTB's involvement in the transaction.

County	Director	Address	Phone number
Albany	Arnold Mendoza	[REDACTED]	[REDACTED]
Clinton	Robert Butler	[REDACTED]	[REDACTED]
Columbia	Michael Nabozny	[REDACTED]	[REDACTED]
Cortland	Peter Testa	[REDACTED]	[REDACTED]
Essex	George Canon	[REDACTED]	[REDACTED]
Franklin	Marcel Webb	[REDACTED]	[REDACTED]
Fulton	Robert Roth	[REDACTED]	[REDACTED]
Greene	Willis Vermilyea	[REDACTED]	[REDACTED]
Herkimer	James Accattato	[REDACTED]	[REDACTED]
Madison	John E. Gladney	[REDACTED]	[REDACTED]
Montgomery	Peter Bylina	[REDACTED]	[REDACTED]
Oneida	F. James Mumpton	[REDACTED]	[REDACTED]
Rensselaer	Neil Kelleher	[REDACTED]	[REDACTED]
City of Schenectady	John DellaRatta	[REDACTED]	[REDACTED]
St. Lawrence	Donald F. Smith	[REDACTED]	[REDACTED]
Warren	Jerold Quintal	[REDACTED]	[REDACTED]
Washington	John R. LaPointe	[REDACTED]	[REDACTED]
n/a	John Signor, President and CEO	[REDACTED]	[REDACTED]

## 2. Other

Persons and entities not listed above who or that have any arrangement, written or oral, to receive any compensation from anyone in connection with the Application, the RFA process or obtaining a License from the State are as follows:

Party	Services		Actual or Contingent
Flaum Management, Inc.	Ground lessor	[REDACTED]	Contingent
Capital District Regional Off-Track Betting Corporation	Ground lessor	[REDACTED]	Contingent
Greenberg Traurig, LLP	Legal Counsel	[REDACTED]	Actual
Bryan Cave LLP	Legal counsel	[REDACTED]	Actual
Hiscock & Barclay, LLP	Legal counsel	[REDACTED]	Actual
Perkins Coie LLP	Legal counsel	[REDACTED]	Actual
Morgan, Lewis & Bockius LLP	Legal counsel	[REDACTED]	Actual
Skadden, Arps, Slate, Meager & Flom LLP	Legal counsel	[REDACTED]	Actual
VHB Engineering, Surveying, and Landscape Architecture, P.C.	Engineer	[REDACTED]	Actual
SOSH Architects	Architect	[REDACTED]	Actual
Turner Construction Company	Contractor	[REDACTED]	Actual
Camoin Associates	Consultant	[REDACTED]	Actual
Union Gaming Analytics	Consultant	[REDACTED]	Actual
Justin McCarthy Consulting, LLC	Consultant	[REDACTED]	Actual
Mercury Public Affairs	Consultant	[REDACTED]	Actual
Strategic Advocacy	Consultant	[REDACTED]	Actual
Corey Ellis	Consultant	[REDACTED]	Actual
Ackerman McQueen	Consultant	[REDACTED]	Actual
LJM RAD LLC	Consultant	[REDACTED]	Actual

\* Reflects amount actually paid or contemplated to be paid by the Applicant to such parties.